

NOTICES OF RULEMAKING DOCKET OPENING

The Administrative Procedure Act (APA) requires the publication of Notices of Rulemaking Docket Opening when an agency opens a rulemaking docket to consider rulemaking. Under the APA effective January 1, 1995, agencies must submit a Notice of Rulemaking Docket Opening before beginning the formal rulemaking process.

NOTICE OF RULEMAKING DOCKET OPENING

STATE RETIREMENT SYSTEM BOARD

- 1. Title and its heading:** 2, Administration
Chapter and its heading: 8, State Retirement System Board
Article and its heading: 4, Supplemental Defined Contribution Plan
Section numbers: To be determined. New Sections will be added as necessary.
- 2. The subject matter of the proposed rule:**
The Legislature amended A.R.S. Title 38, Chapter 5, by adding Article 8 to allow the ASRS to establish and maintain a supplemental defined contribution plan for certain public employees through which employee contributions shall be picked up by the employer pursuant to 26 U.S. Code 414(h)(2). The Legislature intended that the plan supplement existing retirement programs offered to public employees so that employees have an additional opportunity to save and build financial security. The ASRS will be developing rules to implement this program.
- 3. A citation to all published notices relating to the proceeding:**
Notice of Rulemaking Docket Opening: 7 A.A.R. 2330, June 8, 2001 (expired pursuant to A.R.S. § 41-1021(A)(2))
- 4. The name and address of agency personnel with whom persons may communicate regarding the rule:**
Name: Rosanne Gomez
Address: 3300 N. Central Avenue
P.O. Box 33910
Phoenix, AZ 85067-3910
Telephone: (602) 240-2103
Fax: (602) 240-5303
E-mail: RosanneG@asrs.state.az.us
- 5. The time during which the agency will accept written comments and the time and place where oral comments may be made:**
Written comments will be accepted at the location listed in item #4 between 8:00 a.m. and 5:00 p.m. Monday through Friday. Final schedule is to be determined and will be published in the *Arizona Administrative Register*.
- 6. A timetable for agency decisions or other action on the proceeding, if known:**
To be determined

NOTICE OF RULEMAKING DOCKET OPENING

STATE RETIREMENT SYSTEM BOARD

- 1. Title and its heading:** 2, Administration
Chapter and its heading: 8, State Retirement System Board
Article and its heading: 5, Modified DROP Rules
Section numbers: To be determined. New Sections will be added as necessary.
- 2. The subject matter of the proposed rule:**
The Legislature amended A.R.S. Title 38, Chapter 5, Article 2, by adding A.R.S. § 38-748 to allow the ASRS to offer a deferred retirement option under which participating members would be eligible to purchase credited service with contributions to a supplemental defined contribution plan. The Legislature intended that the program encourage active members to continue to work beyond normal retirement age. The ASRS will be developing rules to implement this program.

Arizona Administrative Register
Notices of Rulemaking Docket Opening

3. A citation to all published notices relating to the proceeding:

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6. A timetable for agency decisions or other action on the proceeding, if known:

To be determined

NOTICE OF RULEMAKING DOCKET OPENING
CORPORATION COMMISSION - SECURITIES

1. Title and its heading:

14, Public Service Corporations; Corporations and Associations;
Securities Regulation

Chapter and its heading:

4, Corporation Commission - Securities

Article and its heading:

1, In General Relating to the Securities Act

Section numbers:

R14-4-149

2. Subject matter of proposed rules:

Proposed R14-4-149 provides an exemption from registration requirements for certain offers made in connection with a pending registration application. The issue is currently controlled by a 1986 Commission policy statement published in Commerce Clearing House, Blue Sky Law Reporter, ¶ 9601. The Division proposes to clarify the standards of the policy statement and put those standards in rule 149. Additional Sections may be added, deleted, or modified.

Agency docket number:

RS-00000A-02-0368

3. A citation to all published notices relating to the proceeding:

None

4. The name and address of agency personnel with whom persons may communicate regarding the rule:

Name: Sharleen A. Day, Associate General Counsel
Address: Arizona Corporation Commission, Securities Division
1300 W. Washington, 3rd Floor
Phoenix, AZ 85007
Telephone: (602) 542-4242
Fax: (602) 594-7471

5. The time during which the agency will accept written comments and the time and place where oral comments may be made:

Written comments: Monday through Friday, 8:00 a.m. to 5:00 p.m. at the address noted in item #4.

Oral comments: Monday through Friday, 8:00 a.m. to 5:00 p.m. at the Securities Division as noted in item #4. No Hearing Date has been set.

6. A timetable for agency decisions or other action on the proceeding, if known:

Not known

NOTICE OF RULEMAKING DOCKET OPENING

**DEPARTMENT OF TRANSPORTATION
TITLE, REGISTRATION, AND DRIVER LICENSES**

- 1. Title and its heading:** 17, Transportation
Chapter and its heading: 4, Department of Transportation - Title, Registration,
and Driver Licenses
Article and its heading: 4, Driver Licenses
Section numbers: R17-4-401 and R17-4-402
- 2. Subject matter of the proposed rule:**
R17-4-402 will amend the agency's rule regulating restricted permits after financial responsibility suspension and reinstatement at the end of a suspension period. R17-4-401 will be a new Section of definitions applicable to all of 17 A.A.C. 4, Article 4.
- 3. A citation to all published notices relating to the proceeding:**
None
- 4. The name and address of agency personnel to whom persons may communicate regarding the rule:**
Name: George R. Pavia, Department Rules Supervisor
Address: Administrative Rules Unit
Department of Transportation, Mail Drop 507M
3737 N. 7th Street, Suite 160
Phoenix, AZ 85014-5079
Telephone: (602) 712-8446
Fax: (602) 241-1624
E-mail: gpavia@dot.state.az.us
Please visit the ADOT web site to track progress of this rule and any other agency rulemaking matters at www.dot.state.az.us/about/rules/index.htm.
- 5. The time during which the agency will accept written comments and the time and place where oral comments may be made:**
Comments may be made to the agency official listed in item #4 from 8:00 a.m. to 4:30 p.m., Monday through Friday except state holidays.
- 6. A timetable for agency decisions or other action on the proceeding, if known:**
To be determined